

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Livio Baldi, et al.

Title:

METHOD FOR FORMING STRUCTURES SELF-ALIGNED WITH EACH OTHER ON A SEMICONDUCTOR SUBSTRATE

EACH CITIEN ON A CEMIC

Application No.:

10/749,126

Filing Date:

December 30, 2003

Group Art Unit:

2811

Attorney Docket No.: 2110-100-3

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 4<sup>th</sup> day of June, 2004)

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

## TO THE COMMISSIONER FOR PATENTS:

In compliance with the duty of disclosure under 37 CFR § 1.56, Applicant submits herewith patents, publications, or other information for consideration during the examination of this application.

In accordance with 37 CFR § 1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made nor that the information cited in the statement is or is considered to be "material" to patentability as defined in 37 CFR § 1.56(b).

- X Charge any additional fees to Deposit Account No. 07-1897
- X A postcard as acknowledgement of receipt of Form PTO-1449 and copies of the documents cited in the attached form are enclosed.

Respectfully submitted,

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Sheet 1 of 1 Docket Number (Optional) Form PTO-1449 **Application Number** 2110-100-3 10/749,126 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) Applicant(s) Livio Baldi, et al. Filing Date Group Art Unit December 30, 2003 2811 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUBCLASS DATE NAME **CLASS FILING DATE** INITIAL NUMBER IF **APPROPRIATE** 5,960,270 09/28/1999 Veena Misra, et al. 197 438 08-11/1997 6,225,173 05/01/2001 Bin Yu 438 301 11/06/1998 4,927,774 05/22/1990 437 34 Anthony Welbourn, et al. 10/18/1989 5,132,236 07/21/1992 437 34 Trung T. Doan 07/30/1991 2001/0039095 A1 11/08/2001 Michael Marty 438 321 01/19/2001 FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY **CLASS** SUBCLASS Translation NUMBER YES NO WO 02/43132 05/30/2002 **PCT** H01L 21/331 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Partial European Search Report, EP 02425806, November 7, 2003. DATE CONSIDERED **EXAMINER** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609;

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the

applicant.